# Classical gauge instantons from open strings 

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## Introduction

- Extend methods of field theory to strings
- For $\alpha^{\prime} \rightarrow 0$ string theory gives gauge interactions + gravity
- Perturbative sector of field theories

$$
\mathcal{A}_{N}=\int_{\Sigma}\left\langle V_{\phi_{1}} \cdots V_{\phi_{N}}\right\rangle_{\Sigma}
$$

- Focus on the simplest geometry the sphere (closed strings) and the disk (open strings)
- Distinguish in the vertex $V_{\phi}=\phi \mathcal{V}_{\phi}$, the polarization $\phi$ from the operator part $\mathcal{V}_{\phi}$
- Then $\left\langle\mathcal{V}_{\phi_{\text {closed }}}\right\rangle_{\text {sphere }}=\left\langle\mathcal{V}_{\phi_{\text {open }}}\right\rangle_{\text {disk }}=0$ : no tadpoles!
- What about non perturbative backgrounds?
- In the presence of D-branes the simplest topology is the disk with $(p+1)$ longitudinal and (9-p) transverse boundary conditions
- In this case $\left\langle\mathcal{V}_{\phi_{\text {closed }^{\prime}}}\right\rangle_{\text {disk }_{p}} \neq 0$ or $\left\langle\phi_{\text {closed }} \mid \mathrm{D} p\right\rangle \neq 0$
- $|\mathrm{D} p\rangle$ is the boundary state a classical source for the fields of the closed string spectrum
- For example $\left\langle\mathcal{V}_{h_{\mu \nu}}\right\rangle_{\text {disk }_{p}}=\left\langle h_{\mu \nu} \mid \mathrm{D} p\right\rangle$ gives the metric of the Dp-brane in the large distance approx
- The system D(-1)/D3 gives the ADHM construction

- Different b.c. for the open string in the $\mathrm{D}(-1) / \mathrm{D} 3$ imply the existence of "mixed" disks

- For mixed disks $\left\langle\mathcal{V}_{\phi_{\text {open }}}\right\rangle_{\text {mixed disk }} \neq 0$ and $i$ will show that $\left\langle\mathcal{V}_{A_{\mu}}\right\rangle_{\text {mixed disk }} \neq 0$


## The $D(-1) / D 3$ system

- In the $\mathrm{D}(-1) / \mathrm{D} 3$ system the string coordinates $X^{M}(\tau, \sigma)$ and $\psi^{M}(\tau, \sigma)(M=1, \ldots, 10)$ obey different b.c.
- The $\mathrm{D}(-1)$ has Dirichlet b.c. in all directions
- The D3 has Neumann for the longitudinal $X^{\mu}$ and $\psi^{\mu}(\mu=1,2,3,4)$ and Dirichlet for the transverse $X^{a}$ and $\psi^{a}(a=5, \ldots, 10)$
- The spin field $S^{\dot{\mathcal{A}}}$ is a Weyl spinor of $\mathrm{SO}(10)$. On the $\mathrm{D}(-1)$ boundary $S^{\dot{\mathcal{A}}}(z)=\left.\widetilde{S}^{\dot{\mathcal{A}}}(\bar{z})\right|_{z=\bar{z}}$ while on the D3 boundary $S^{\dot{\mathcal{A}}}(z)=\left.\epsilon^{\prime}\left(\Gamma^{0123} \widetilde{S}\right)^{\dot{\mathcal{A}}}(\bar{z})\right|_{z=\bar{z}}$
- The presence of the D3 breaks $\mathrm{SO}(10) \rightarrow \mathrm{SO}(4) \times \mathrm{SO}(6)$
- The D(-1) b.c. induce $S_{\alpha}(z) S_{A}(z)=$

$$
\left.\widetilde{S}_{\alpha}(\bar{z}) \widetilde{S}_{A}(\bar{z})\right|_{z=\bar{z}} \quad, \quad S^{\dot{\alpha}}(z) S^{A}(z)=\left.\widetilde{S}^{\dot{\alpha}}(\bar{z}) \widetilde{S}^{A}(\bar{z})\right|_{z=\bar{z}}
$$

- The D3 b.c. induce

$$
\begin{aligned}
& S_{\alpha}(z) S_{A}(z)=\left.\varepsilon^{\prime} \widetilde{S}_{\alpha}(\bar{z}) \widetilde{S}_{A}(\bar{z})\right|_{z=\bar{z}} \quad, \quad S^{\dot{\alpha}}(z) S^{A}(z)= \\
& -\left.\varepsilon^{\prime} \widetilde{S}^{\dot{\alpha}}(\bar{z}) \widetilde{S}^{A}(\bar{z})\right|_{z=\bar{z}}
\end{aligned}
$$

## Broken and unbroken SUSY

- In the $\mathrm{D}(-1) / \mathrm{D} 3$ system one can define bulk supercharges $Q^{\dot{\mathcal{A}}}=\frac{1}{2 \pi \mathrm{i}} \int d z j^{\dot{\mathcal{A}}}(z)$ and $\widetilde{Q}^{\dot{\mathcal{A}}}=\frac{1}{2 \pi \mathrm{i}} \int d \bar{z} \widetilde{j}^{\dot{\mathcal{A}}}(\bar{z})$
- $j^{\dot{\mathcal{A}}}\left(\tilde{j}^{\dot{\mathcal{A}}}\right)$ is the left (right) SUSY current. In the $(-1 / 2)$ picture $j^{\dot{\mathcal{A}}}(z)=S^{\dot{\mathcal{A}}}(z) \mathrm{e}^{-\frac{1}{2} \phi(z)}$
- The b.c. $j(z)=\left.\widetilde{j}(\bar{z})\right|_{\bar{z}=z}$ conserves the charge $q=Q-\widetilde{Q}$ and breaks $q^{\prime}=Q+\widetilde{Q}$


## Summ ary

| Charge | $D 3$ | $D(-1)$ | Param |
| :---: | :---: | :---: | :---: |
| $Q^{\dot{\alpha} A}-\widetilde{Q}^{\dot{\alpha} A}$ | u | u | $\bar{\xi}_{\dot{\alpha} A}$ |
| $Q^{\dot{\alpha} A}+\widetilde{Q}^{\dot{\alpha} A}$ | b | b | $\rho_{\dot{\alpha} A}$ |
| $Q_{\alpha A}-\widetilde{Q}_{\alpha A}$ | b | u | $\xi^{\alpha A}$ |
| $Q_{\alpha A}+\widetilde{Q}_{\alpha A}$ | u | b | $\eta^{\alpha A}$ |

## Massless spectrum

- In the $\mathrm{D}(-1) / \mathrm{D} 3$ system there are different open strings
i) $(-1) /(-1)$
ii) $3 / 3$
iii) $(-1) / 3$
iv) $3 /(-1)$
- In the $3 / 3$ string

NS the gauge vector $A^{\mu}$ and six scalars $\varphi^{a}$ which can propagate in the four longitudinal directions of the D3 brane
R two gauginos, $\Lambda^{\alpha A}$ and $\bar{\Lambda}_{\dot{\alpha} A}$

## - The vertex operators are

$$
\begin{aligned}
& V_{A}^{(-1)}(z)=A^{\mu}(p) \mathcal{V}_{A^{\mu}}^{(-1)}(z ; p) \\
& V_{\varphi}^{(-1)}(z)=\varphi^{a}(p) \mathcal{V}_{\varphi^{a}}^{(-1)}(z ; p) \\
& \mathcal{V}_{A^{\mu}}^{(-1)}(z ; p)=\frac{1}{\sqrt{2}} \psi_{\mu}(z) \mathrm{e}^{-\phi(z)} \mathrm{e}^{\mathrm{i} p_{\nu} X^{\nu}(z)} \\
& \mathcal{V}_{\varphi^{a}}^{(-1)}(z ; p)=\frac{1}{\sqrt{2}} \psi_{a}(z) \mathrm{e}^{-\phi(z)} \mathrm{e}^{\mathrm{i} p_{\nu} X^{\nu}(z)}
\end{aligned}
$$

## - These fields form an $N=4$ vector multiplet

$$
\begin{aligned}
& \delta A^{\mu}=\mathrm{i} \bar{\xi}_{\dot{\alpha} A}\left(\bar{\sigma}^{\mu}\right)^{\dot{\alpha} \beta} \Lambda_{\beta}^{A}+\mathrm{i} \eta^{\alpha A}\left(\sigma^{\mu}\right)_{\alpha \dot{\beta}} \bar{\Lambda}_{A}^{\dot{\beta}} \\
& \delta \Lambda^{\alpha A}=\frac{\mathrm{i}}{2} \eta^{\beta A}\left(\sigma^{\mu \nu}\right)_{\beta}^{\alpha} F_{\mu \nu}+\mathrm{i} \bar{\xi}_{\dot{\beta} B}\left(\bar{\sigma}^{\mu}\right)^{\dot{\beta} \alpha}\left(\Sigma^{a}\right)^{B A} \partial_{\mu} \varphi_{a} \\
& \delta \bar{\Lambda}_{\dot{\alpha} A}=\frac{\mathrm{i}}{2} \bar{\xi}_{\dot{\beta} A}\left(\bar{\sigma}^{\mu \nu}\right)_{\dot{\alpha}}^{\dot{\beta}} F_{\mu \nu}-\mathrm{i} \eta^{\beta B}\left(\sigma^{\mu}\right)_{\beta \dot{\alpha}}\left(\bar{\Sigma}^{a}\right)_{B A} \partial_{\mu} \varphi_{a} \\
& \delta \varphi^{a}=-\mathrm{i} \bar{\xi}_{\dot{\alpha} A}\left(\Sigma^{a}\right)^{A B} \bar{\Lambda}_{B}^{\dot{\alpha}}+\mathrm{i} \eta^{\alpha A}\left(\bar{\Sigma}^{a}\right)_{A B} \Lambda_{\alpha}^{B}
\end{aligned}
$$

- These transf. can also be obtained from the vertex operator for the gaugino $\Lambda^{\alpha A}$ and $q^{\dot{\alpha} A} \equiv Q^{\dot{\alpha} A}-\widetilde{Q}^{\dot{\alpha} A}$, both in the $(-1 / 2)$ picture

$$
\begin{aligned}
& {\left[\bar{\xi}_{\dot{\alpha} A} q^{\dot{\alpha} A}, V_{\Lambda}^{(-1 / 2)}(z)\right]=\bar{\xi}_{\dot{\alpha} A} \oint_{z} \frac{d y}{2 \pi \mathrm{i}} j^{\dot{\alpha} A}(y) V_{\Lambda}^{(-1 / 2)}(z)} \\
& =-\bar{\xi}_{\dot{\alpha} A} \Lambda^{\beta B} \oint_{z} \frac{d y}{2 \pi \mathrm{i}}\left(S^{\dot{\alpha}}(y) S^{A}(y) \mathrm{e}^{-\frac{1}{2} \phi(y)}\right) \\
& \left(S_{\beta}(z) S_{B}(z) \mathrm{e}^{-\frac{1}{2} \phi(z)} \mathrm{e}^{\mathrm{i} p_{\nu} X^{\nu}(z)}\right)=\left(-\mathrm{i} \bar{\xi}_{\dot{\alpha} A}\left(\bar{\sigma}^{\mu}\right)_{\beta}^{\dot{\alpha}} \Lambda^{\beta A}\right) \\
& \frac{1}{\sqrt{2}} \psi_{\mu}(z) \mathrm{e}^{-\phi(z)} \mathrm{e}^{\mathrm{i} p_{\nu} X^{\nu}(z)}
\end{aligned}
$$

- Finally $\delta_{\bar{\xi}} A^{\mu}=\mathrm{i} \bar{\xi}_{\dot{\alpha} A}\left(\bar{\sigma}^{\mu}\right)^{\dot{\alpha} \beta} \Lambda_{\beta}^{A}$ or schematically

$$
\left[\bar{\xi} q, V_{\Lambda}\right]=V_{\delta_{\xi} A}
$$

- For N coincident D 3 branes we add $N \times N$ Chan-Paton factors
- $(-1) /(-1)$ strings: there are no Neumann directions so there is no momentum. These are the moduli.
NS $4 a^{\mu}$ (corresponding to the longitudinal directions of the D3 branes) and $6 \chi^{a}$ (corresponding to the transverse directions to the D3's)
R 16 fermionic moduli $M^{\alpha A}$ and $\lambda_{\dot{\alpha} A}$


## - Vertex operators

$$
\begin{aligned}
V_{a}^{(-1)}(z) & =\frac{a^{\mu}}{\sqrt{2}} \psi_{\mu}(z) \mathrm{e}^{-\phi(z)} \\
V_{\chi}^{(-1)}(z) & =\frac{\chi^{a}}{\sqrt{2}} \psi_{a}(z) \mathrm{e}^{-\phi(z)} \\
V_{M}^{(-1 / 2)}(z) & =M^{\alpha A} S_{\alpha}(z) S_{A}(z) \mathrm{e}^{-\frac{1}{2} \phi(z)} \\
V_{\lambda}^{(-1 / 2)}(z) & =\lambda_{\dot{\alpha} A} S^{\dot{\alpha}}(z) S^{A}(z) \mathrm{e}^{-\frac{1}{2} \phi(z)}
\end{aligned}
$$

- The SUSY transf. that preserve also the D3 boundary are

$$
\begin{aligned}
& \delta_{\bar{\xi}} a^{\mu}=\mathrm{i} \bar{\xi}_{\dot{\alpha} A}\left(\bar{\sigma}^{\mu}\right)^{\dot{\alpha} \beta} M_{\beta}{ }^{A} \\
& \delta_{\bar{\xi}} \chi^{a}=-\mathrm{i} \bar{\xi}_{\dot{\alpha} A}\left(\Sigma^{a}\right)^{A B} \lambda^{\dot{\alpha}}{ }_{B} \\
& \delta_{\bar{\xi}} M^{\alpha A}=0 \quad, \quad \delta_{\bar{\xi}} \lambda_{\dot{\alpha} A}=0
\end{aligned}
$$

- A superposition of $\mathrm{k} \mathrm{D}(-1)$ branes gives $k \times k$ Chan-Paton factors and

$$
\begin{aligned}
& \delta_{\bar{\xi}} M^{\alpha A}=-\bar{\xi}_{\dot{\beta} B}\left(\bar{\sigma}^{\mu}\right)^{\dot{\beta} \alpha}\left(\Sigma^{a}\right)^{B A}\left[\chi_{a}, a_{\mu}\right] \\
& \delta_{\bar{\xi}} \lambda_{\dot{\alpha} A}=\frac{1}{2} \bar{\xi}_{\dot{\alpha} B}\left(\bar{\Sigma}^{a b}\right)_{A}^{B}\left[\chi_{a}, \chi_{b}\right]+\frac{1}{2} \bar{\xi}_{\dot{\beta} A}\left(\bar{\sigma}^{\mu \nu}\right)_{\dot{\alpha}}^{\dot{\beta}}\left[a_{\mu}, a_{\nu}\right]
\end{aligned}
$$

- To derive these transf. from the vertex formalism, we need auxiliary fields
- $3 /(-1)$ and ( -1 )/3 strings: 4 directions (longitudinal to the D3 brane) have mixed b.c.
NS the $\psi^{\mu}$ have integer-moded expansions. The massless states are two bosonic Weyl spinors $w$ and $\bar{w}$
R $\psi^{\mu}$ have half-integer mode expansions. The massless states form two fermionic Weyl spinors $\mu$ and $\bar{\mu}$
- The vertex operators (in the ( -1 ) and ( $-1 / 2$ ) superghost picture) are

$$
\begin{aligned}
V_{w}^{(-1)}(z) & =w_{\dot{\alpha}} \Delta(z) S^{\dot{\alpha}}(z) \mathrm{e}^{-\phi(z)} \\
V_{\bar{w}}^{(-1)}(z) & =\bar{w}_{\dot{\alpha}} \bar{\Delta}(z) S^{\dot{\alpha}}(z) \mathrm{e}^{-\phi(z)} \\
V_{\mu}^{(-1 / 2)}(z) & =\mu^{A} \Delta(z) S_{A}(z) \mathrm{e}^{-\frac{1}{2} \phi(z)} \\
V_{\bar{\mu}}^{(-1 / 2)}(z) & =\bar{\mu}^{A} \bar{\Delta}(z) S_{A}(z) \mathrm{e}^{-\frac{1}{2} \phi(z)}
\end{aligned}
$$

- The unbroken SUSY give one linear and one non-linear transf.

$$
\begin{aligned}
\delta_{\bar{\xi}} w_{\dot{\alpha}} & =-\mathrm{i} \bar{\xi}_{\dot{\alpha} A} \mu^{A} \\
\delta_{\bar{\xi}} \mu^{A} & =-\frac{1}{\sqrt{2}} \bar{\xi}_{\dot{\alpha} B}\left(\Sigma^{a}\right)^{B A} w^{\dot{\alpha}} \chi_{a}
\end{aligned}
$$

## Effective actions

- I now compute the tree level string amplitude and do the the field theory limit $\alpha^{\prime} \rightarrow 0$. I start with the $3 / 3$ strings

$$
\begin{aligned}
& \mathcal{A}_{(\bar{\Lambda} A \Lambda)}=\left\langle\left\langle V_{\bar{\Lambda}}^{(-1 / 2)} V_{A}^{(-1)} V_{\Lambda}^{(-1 / 2)}\right\rangle\right\rangle \\
\equiv & C_{4} \int \frac{\prod_{i} d z_{i}}{d V_{123}}\left\langle V_{\bar{\Lambda}}^{(-1 / 2)}\left(z_{1}\right) V_{A}^{(-1)}\left(z_{2}\right) V_{\Lambda}^{(-1 / 2)}\left(z_{3}\right)\right\rangle \\
= & -\frac{2 \mathrm{i}}{g_{\mathrm{YM}}^{2}} \operatorname{Tr}\left(\bar{\Lambda}_{\dot{\alpha} A} \bar{\nexists}^{\dot{\alpha} \beta} \Lambda_{\beta}^{A}\right)
\end{aligned}
$$

- Repeating the computation for the other possible couplings leads to

$$
\begin{aligned}
& \mathcal{S}_{\mathrm{SYM}}=\frac{1}{g_{\mathrm{YM}}^{2}} \int d^{4} x \operatorname{Tr}\left\{\frac{1}{2} F_{\mu \nu}^{2}-2 \bar{\Lambda}_{\dot{\alpha} A} \overline{\mathcal{D}}^{\dot{\alpha} \beta} \Lambda_{\beta}^{A}\right. \\
& +\left(\mathcal{D}_{\mu} \varphi_{a}\right)^{2}-\frac{1}{2}\left[\varphi_{a}, \varphi_{b}\right]^{2}-\mathrm{i}\left(\Sigma^{a}\right)^{A B} \bar{\Lambda}_{\dot{\alpha} A}\left[\varphi_{a}, \bar{\Lambda}_{B}^{\dot{\alpha}}\right] \\
& \left.-\mathrm{i}\left(\bar{\Sigma}^{a}\right)_{A B} \Lambda^{\alpha A}\left[\varphi_{a}, \Lambda_{\alpha}^{B}\right]\right\}
\end{aligned}
$$

- In the (-1)/(-1) case
$\mathcal{A}_{(\lambda a M)}=\left\langle V_{\lambda}^{(-1 / 2)} V_{a}^{(-1)} V_{M}^{(-1 / 2)}\right\rangle$ leads to
$-\frac{i}{g_{0}^{2}} \operatorname{tr}\left(\lambda_{\dot{\alpha} A}\left[\dot{\phi}^{\dot{\alpha} \beta}, M_{\beta}^{A}\right]\right)$ and to an action
$\mathcal{S}_{(-1)}=\mathcal{S}_{\mathrm{c}}+\mathcal{S}_{\mathrm{q}}$ with

$$
\begin{aligned}
& \mathcal{S}_{\mathrm{c}}=\frac{\mathrm{i}}{g_{0}^{2}} \operatorname{tr}\left\{\lambda_{\dot{\alpha} A}\left[{\not{ }_{\phi}}_{\dot{\alpha} \beta}, M_{\beta}^{A}\right]-\frac{1}{2}\left(\Sigma^{a}\right)^{A B} \lambda_{\dot{\alpha} A}\left[\chi_{a}, \lambda_{B}^{\dot{\alpha}_{B}}\right]\right. \\
& \left.-\frac{1}{2}\left(\bar{\Sigma}^{a}\right)_{A B} M^{\alpha A}\left[\chi_{a}, M_{\alpha}^{B}\right]\right\} \\
& \mathcal{S}_{\mathrm{q}}=-\frac{1}{g_{0}^{2}} \operatorname{tr}\left\{\frac{1}{4}\left[a_{\mu}, a_{\nu}\right]^{2}+\frac{1}{2}\left[a_{\mu}, \chi_{a}\right]^{2}+\frac{1}{4}\left[\chi_{a}, \chi_{b}\right]^{2}\right\}
\end{aligned}
$$

- In turn $\mathcal{S}_{\mathrm{q}}$ can be linearized, leading to

$$
\begin{aligned}
\mathcal{S}^{\prime}= & \frac{1}{g_{0}^{2}} \operatorname{tr}\left\{\frac{1}{2} D_{c}^{2}+\frac{1}{2} D_{c} \bar{\eta}_{\mu \nu}^{c}\left[a^{\mu}, a^{\nu}\right]+\frac{1}{2} Y_{\mu a}^{2}\right. \\
& \left.+Y_{\mu a}\left[a^{\mu}, \chi^{a}\right]+\frac{1}{4} Z_{a b}^{2}+\frac{1}{2} Z_{a b}\left[\chi^{a}, \chi^{b}\right]\right\}
\end{aligned}
$$

- and the vertices

$$
\begin{aligned}
V_{D}^{(0)}(z) & =\frac{1}{2} D_{c} \bar{\eta}_{\mu \nu}^{c} \psi^{\nu}(z) \psi^{\mu}(z) \\
V_{Y}^{(0)}(z) & =Y_{\mu a} \psi^{a}(z) \psi^{\mu}(z) \\
V_{Z}^{(0)}(z) & =\frac{1}{2} Z_{a b} \psi^{b}(z) \psi^{a}(z)
\end{aligned}
$$

- Now $\left[\bar{\xi} q, V_{D}\right]=V_{\delta_{\bar{\xi}} \lambda}$ leads to

$$
\delta_{\bar{\xi}} \lambda_{\dot{\alpha} A}=-\frac{1}{4} \bar{\xi}_{\dot{\beta} A}\left(\bar{\sigma}^{\mu \nu}\right)_{\dot{\alpha}}^{\dot{\beta}} D_{c} \bar{\eta}_{\mu \nu}^{c}
$$

- Finally the $(-1) / 3$ and $3 /(-1)$ parts lead to

$$
\begin{aligned}
& \mathcal{S}^{\prime \prime}=\frac{2 \mathrm{i}}{g_{0}^{2}} \operatorname{tr}\left\{\left(\bar{\mu}_{u}^{A} w_{\dot{\alpha}}^{u}+\bar{w}_{\dot{\alpha} u} \mu^{A u}\right) \lambda_{A}^{\dot{\alpha}}-D_{c} W^{c}\right. \\
& \left.+\frac{1}{2}\left(\bar{\Sigma}^{a}\right)_{A B} \bar{\mu}_{u}^{A} \mu^{B u} \chi_{a}-\mathrm{i} \chi_{a} \bar{w}_{\dot{\alpha} u} w^{\dot{\alpha} u} \chi^{a}\right\}
\end{aligned}
$$

- Finally the moduli action is

$$
\begin{aligned}
& S=\operatorname{tr}\{ \\
& Y_{\mu a}^{\prime 2}+2 Y^{\prime}{ }_{\mu a}\left[a^{\prime \mu}, \chi^{\prime a}\right]+\frac{1}{4} Z_{a b}^{\prime 2}+\chi_{a}^{\prime} \bar{w}_{\dot{\alpha} u} w^{\prime \dot{\alpha} u} \chi^{\prime a}+ \\
& \frac{\mathrm{i}}{2}\left(\bar{\Sigma}^{a}\right)_{A B} \bar{\prime}^{\prime}{ }_{u}^{A} \mu^{\prime B u} \chi^{\prime}{ }_{a}-\frac{\mathrm{i}}{4}\left(\bar{\Sigma}^{a}\right)_{A B} M^{\prime \alpha A}\left[\chi^{\prime}{ }_{a}, M_{\alpha}^{\prime B}\right] \\
& +\mathrm{i}\left({\overline{\mu^{\prime}}}^{\prime}{ }_{u} w_{\dot{\alpha}}^{\prime}{ }^{u}+\bar{w}^{\prime}{ }_{\dot{\alpha} u} \mu^{\prime A u}+\left[M^{\prime \beta A}, a_{\beta \dot{\alpha}}^{\prime}\right]\right) \lambda^{\prime \dot{\alpha}}{ }_{A} \\
& \left.-\mathrm{i} D_{c}^{\prime}\left(W^{\prime c}+\mathrm{i} \bar{\eta}_{\mu \nu}^{c}\left[a^{\prime \mu}, a^{\prime \nu}\right]\right)\right\}
\end{aligned}
$$

## The instanton from mixed disks

- $D(-1)$ branes are sources for the fields in the gauge supermultiplet

- In momentum space

$$
\begin{aligned}
& A_{\mu}^{I}(p ; \bar{w}, w)=\left\langle\left\langle V_{\bar{w}}^{(-1)} \mathcal{V}_{A_{\mu}^{I}}^{(0)}(-p) V_{w}^{(-1)}\right\rangle\right\rangle= \\
& \mathrm{i}\left(T^{I}\right)^{v}{ }_{u} p^{\nu} \bar{\eta}_{\nu \mu}^{c}\left(w_{\dot{\alpha}}^{u}\left(\tau_{c}\right)_{\dot{\beta}}^{\dot{\alpha}} \bar{w}_{v}^{\dot{\beta}}\right) \mathrm{e}^{-\mathrm{i} p \cdot x_{0}}
\end{aligned}
$$

- Attaching the gluon propagator $\delta_{\mu \nu} / p^{2}$ and FT

$$
\begin{aligned}
A_{\mu}^{I}(x)= & \int \frac{d^{4} p}{(2 \pi)^{2}} A_{\mu}^{I}(p ; \bar{w}, w) \frac{1}{p^{2}} \mathrm{e}^{\mathrm{i} p \cdot x} \\
= & -2\left(T^{I}\right)^{v}{ }_{u}\left(w_{\dot{\alpha}}^{u}{ }^{u}\left(\tau_{c}\right)_{\dot{\beta}}^{\dot{\alpha}} \bar{w}^{\dot{\beta}}\right) \bar{\eta}_{\nu \mu}^{c} \frac{\left(x-x_{0}\right)^{\nu}}{\left(x-x_{0}\right)^{4}} \\
& =4 \rho^{2} \operatorname{Tr}\left(T^{I} t_{c}\right) \bar{\eta}_{\mu \nu}^{c} \frac{\left(x-x_{0}\right)^{\nu}}{\left(x-x_{0}\right)^{4}}
\end{aligned}
$$

- Analogous formulae hold for the other members of the supermultiplet


## ADHM construction

- The basic objects in the ADHM construction are [ $N+2 k] \times[2 k]$ matrices $\Delta(x)=a+b x$

$$
a \equiv\binom{w_{\dot{\alpha}}^{u i}}{a^{\prime}{ }_{\alpha \dot{\beta} l i}} \quad, \quad b=\binom{0}{\mathbf{1}_{[2 k] \times[2 k]}}
$$

- The constraints are $\bar{\Delta} \Delta=f_{k \times k}^{-1} \mathbf{1}_{[2] \times[2]}$ which for $k=1$ give $\bar{w}_{u}^{\dot{\alpha}} w_{\dot{\beta}}^{u}=\rho^{2} \delta_{\dot{\beta}}^{\dot{\alpha}}$ whose solution is

$$
\begin{aligned}
& \left\|w_{\dot{\alpha}}^{u}\right\|=\left\|\bar{w}_{u}^{\dot{\alpha}}{ }_{u}\right\|=\rho T\binom{0_{[N-2] \times[2]}}{1_{[2] \times[2]}} \\
& T \in \operatorname{SU}(N) / \operatorname{SU}(N-2)
\end{aligned}
$$

- The gauge field is $\left(\widehat{A}_{\mu}\right)^{u}{ }_{v}=\frac{\rho^{2}}{x^{2}\left(x^{2}+\rho^{2}\right)}\left(\bar{\sigma}_{\nu \mu}\right)^{u}{ }_{v} x^{\nu}$ with

$$
\left(\bar{\sigma}_{\nu \mu}\right)_{u}^{v}=\left(\begin{array}{cc}
0_{[N-2] \times[N-2]} & 0_{[N-2] \times[2]} \\
0_{[2] \times[N-2]} & \left(\bar{\sigma}_{\nu \mu}\right)_{\dot{\alpha}}^{\dot{\beta}}
\end{array}\right) .
$$

- For $S U(N)$ we get $\widehat{A}_{\mu}=T \widehat{A}_{\mu} T^{-1}$
- Higher order contributions are $\underset{\underset{w}{\text { given }} \text { by }}{ }$

- In the limit $\alpha^{\prime} \rightarrow 0$

- Recovering the expansion

$$
\begin{aligned}
A_{\mu}^{c}(x) & =2 \rho^{2} \bar{\eta}_{\mu \nu}^{c} \frac{\left(x-x_{0}\right)^{\nu}}{\left(x-x_{0}\right)^{2}\left[\left(x-x_{0}\right)^{2}+\rho^{2}\right]} \\
& \simeq 2 \rho^{2} \bar{\eta}_{\mu \nu}^{c} \frac{\left(x-x_{0}\right)^{\nu}}{\left(x-x_{0}\right)^{4}}\left(1-\frac{\rho^{2}}{\left(x-x_{0}\right)^{2}}+\ldots\right)
\end{aligned}
$$

## Instanton calculus

- the tree-level scattering amplitude among $n$ states of the $3 / 3$ strings

$$
\mathcal{A}_{\phi_{1} . . \phi_{n}}=\phi_{n}\left(p_{n}\right) . . \phi_{1}\left(p_{1}\right)\left\langle\left\langle V_{\phi_{1}}\left(p_{1}\right) . . \mathcal{V}_{\phi_{n}}\left(p_{n}\right)\right\rangle\right.
$$

- in the limit $\alpha^{\prime} \rightarrow 0$ and extracting the 1PI part

$$
-\int \frac{d^{4} p_{1}}{(2 \pi)^{2}} \cdot \frac{d^{4} p_{n}}{(2 \pi)^{2}} \phi_{n}\left(p_{n}\right) . .\left.\phi_{1}\left(p_{1}\right)\left\langle\left\langle\nu_{\phi_{1}}\left(p_{1}\right) . . \mathcal{V}_{\phi_{n}}\left(p_{n}\right)\right\rangle\right\rangle\right|_{\alpha^{\prime} \rightarrow} ^{1 \mathrm{PI}}
$$

- In the presence of $\mathrm{D}(-1)$ branes we get a contribution from world sheets with a part of their boundary on the D-instantons
- $\mathcal{D}(\mathcal{M})$ is the sum of all disks with all possible insertions of the moduli $\mathcal{M}$ of the $k$ instantons

- The vacuum contribution of the "disk" $\mathcal{D}(\mathcal{M})$ is

$$
\langle 1\rangle_{\mathcal{D}(\mathcal{M})} \stackrel{\alpha^{\prime} \Rightarrow 0}{\simeq}-S[\mathcal{M}] \equiv-\frac{8 \pi^{2} k}{g_{\mathrm{YM}}^{2}}-S_{\mathrm{moduli}}
$$

- The integration over $\mathcal{M}$ is the analogue of what one does in quantum field theory, where the path integral describing a correlator is split into different topological sectors

- The integration over the moduli $\mathcal{M}$ has consequences. Correlators disconnected from the 2-dim viewpoint are connected for the 4-dim theory on the D3 branes
i) $\frac{1}{\ell!}\left\langle\left\langle\nu_{\phi_{1}}\left(p_{1}\right) \ldots \nu_{\phi_{n}}\left(p_{n}\right)\right\rangle_{\mathcal{D}(\mathcal{M})}\left(\left\langle\langle 1\rangle_{\mathcal{D}(\mathcal{M})}\right)^{\ell}\right.\right.$
ii) $\left\langle\left\langle\mathcal{V}_{\phi_{1}}\left(p_{1}\right) \mathcal{V}_{\phi_{2}}\left(p_{2}\right)\right\rangle_{\mathcal{D}(\mathcal{M})}\left\langle\left\langle\nu_{\phi_{3}}\left(p_{3}\right) \ldots \mathcal{V}_{\phi_{n}}\left(p_{n}\right)\right\rangle_{\mathcal{D}(\mathcal{M})}\right.\right.$
$e^{\left\langle\langle 1\rangle_{\mathcal{D}(\mathcal{M})}\right.}$


## - Pictorically



- Since each expectation value on $\mathcal{D}(\mathcal{M})$ is proportional to $C_{0} \propto g_{s}^{-1}$ the dominant contribution for small $g_{s}$ is the one in which a single vertex $\mathcal{V}_{\phi}$ is inserted in each disk

$$
\begin{gathered}
\left.\left\langle\phi_{1}\left(p_{1}\right) \ldots \phi_{n}\left(p_{n}\right)\right\rangle\right|_{\text {amput. }} ^{\text {D-inst. }}= \\
\int d \mathcal{M}\left\langle\left\langle\nu_{\phi_{1}}\left(-p_{1}\right)\right\rangle\right\rangle_{\mathcal{D}(\mathcal{M})} \cdot \cdot\left\langle\left\langle\nu_{\phi_{n}}\left(-p_{n}\right)\right\rangle\right\rangle_{\mathcal{D}(\mathcal{M})} \mathrm{e}^{\left\langle\langle 1\rangle_{\mathcal{D ( \mathcal { M } )}}\right.}
\end{gathered}
$$

$\phi_{1}$

$\mathcal{D}\left(\right.$ in) $e^{\langle\langle 1\rangle\rangle_{\mathcal{D}(\mathcal{M})}}$

- Remembering that

$$
\begin{aligned}
& \phi^{\text {disk }}(x ; \mathcal{M})=\int \frac{d^{4} p}{(2 \pi)^{2}} \mathrm{e}^{\mathrm{i} p \cdot x} \frac{1}{p^{2}}\left\langle\left.\left\langle\mathcal{V}_{\phi}(-p)\right\rangle_{\mathcal{D}(\mathcal{M})}\right|_{\alpha^{\prime} \rightarrow 0}\right. \text { and } \\
& \phi(x ; \mathcal{M})^{\text {disk }}=\phi^{\mathrm{cl}}(x ; \mathcal{M})
\end{aligned}
$$

- We compare with the field theory prescription

$$
\begin{gathered}
\left.\left\langle\phi_{1}\left(x_{1}\right) \ldots \phi_{n}\left(x_{n}\right)\right\rangle\right|_{\text {inst. }}= \\
\int d \mathcal{M} \phi_{1}^{\mathrm{cl}}\left(x_{1} ; \mathcal{M}\right) \cdots \phi_{n}^{\mathrm{cl}}\left(x_{n} ; \mathcal{M}\right) \mathrm{e}^{-S[\mathcal{M}]}
\end{gathered}
$$

